

**Annexure B: - Formats
of Mandatory display**

i. Basic details of the SB such as registration number, registered address of Head Office and branches if any –

Stock Broker Name/d/p	Registration Number	Registered Address	Branch Address (if any)	Contact Number	Email id
DYNA WEGMANS SECURITIES LIMITED	INZ000258234	E-73&74 AMAR COLONY, LAJPAT NAGAR-IV NEW DELHI110024	B-33, Vikas Marg, Laxmi Nagar, Delhi-110092/ F-7,EAST OF KAILASH,DELHI	011-26217126 7840870008	mail@dynasecurities.in

Escalation Matrix:

Details of	Contact Person	Address	Contact No.	Email Id
Customer care/ Head of Customer care	Niranjan	1207, Chiranjiv Tower, 43 Nehru Place , New Delhi-110019	011-41687127 7840870003	mail@dynasecurities.in 10:00 AM TO 6:00 PM
Compliance Officer	MUKUL LATH	1207, CHIRANJEEV TOWER,43, NEHRU PLACE NEW DELHI-110019	011-41787128 7840870008	mail@dynasecurities.in
CEO (DP)	Ram Naresh Jha	1207, Chiranjiv Tower, 43 Nehru Place , New Delhi-110019	011-26217126 7840870004	mail@dynasecurities.in

ii. Names and contact details of all Key Managerial Personnel including the Compliance Officer-

Sr. No.	Name of the Individual	Designation	Mobile Number	Email Id
1	K C Lath	Director	7840870006	Kcl4@dynasecurities.in
2	Mukul Lath	Director	7840870008	mail@dynasecurities.in

iii. Step by step procedures for opening an account, filing a complaint on designated email id, and finding out the status of the complaint etc.

- a. [Detailed write up on the procedure for opening an account.](#)
- b. [Detailed write up on procedure for filing a complaint on designated email id/ Toll-free number along with Flowchart and video if any \(optional\). Provisions are to be made for sharing Ticket Number once the complaint is lodged.](#)
- c. [Detailed write up on procedure for finding out status of the complaint basis Ticket Number etc. along with Flowchart and video if any \(optional\).](#)

iv. Details of Authorized Persons

List Of Authorised Persons (AP)										
Sr No	Authorised Person's Name	Authorised Person Code (Exchange wise)	Constituti on	Status (Approved / Cancelled)	Registered Address				Terminal Details (Exchange Wise)	
					Add	City	State	Pin code	Terminal Allotted (Y/N)	No. of Terminals
1	Vishu Gupta	AP06760000 61	Individual	Approved	1207chiranjiv tower12th43, nehru place43, nehru place,NEW DELHI-110019				N	NA
2	Yogshree Rustogi	AP067600004 1	Individual	Approved	1207chiranjiv tower12th43, nehru place43, nehru place,NEW DELHI-110019				N	NA

List of Authorised Persons (AP) Cancelled by Members on Account of Disciplinary Reasons				
Sr. No.	Authorised Person's Name	Status	Authorised Person Cancellation Details	
			Date	Reason
1	N/A			
2	N/A			
3	N/A			
4	N/A			